

| General information about company   |                              |   |
|---|------------------------------|---|
| Scrip code  | 538863                       |   |
| NSE Symbol  |                              |   |
| MSEI Symbol   |                              |   |
| ISIN  | INE985Q01010                 |   |
| Name of the entity  | SRI AMARNATH FINANCE LIMITED |   |
| Date of start of financial year   | 01-04-2024                   |   |
| Date of end of financial year   | 31-03-2025                   |   |
| Reporting Quarter Type  | Yearly                       |   |
| Date of Quarter Ending  | 31-03-2025                   |   |
| Type of company   | Equity                       |   |
| Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?                                  | Yes                          |   |
| Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?  | Yes                          |   |
| Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity? | No                           | No such transaction occurred during the relevant reporting period |
| Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?                                | No                           | No such transaction occurred during the relevant reporting period |
| Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?               | No                           | No such transaction occurred during the relevant reporting period |
| Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?       | No                           | No such transaction occurred during the relevant reporting period |
| Risk management committee   | Not Applicable               |   |
| Market Capitalisation as per immediate previous Financial Year  | Any other                    |   |
| Is SCORE ID Available ?   | Yes                          |   |
| SCORE Registration ID   | S01590                       |   |
| Reason For No SCORE ID  |                              |   |
| Type of Submission  | Original                     |   |
| Remarks (website dissemination)   |                              |   |
| Remarks for Exchange (not for Website Dissemination)  |                              |   |

| Annexure I   |                 |                      |            |          |  |                         |                         |               |
|--|-----------------|----------------------|------------|----------|--|-------------------------|-------------------------|---------------|
| Annexure I to be submitted by listed entity on quarterly basis       |                 |                      |            |          |  |                         |                         |               |
| I. Composition of Board of Directors                                 |                 |                      |            |          |  |                         |                         |               |
| Disclosure of notes on composition of board of directors explanatory |                 |                      |            |          |  |                         |                         |               |
| Whether the listed entity has a Regular Chairperson                  |                 |                      |            |          |  |                         | Yes                     |               |
| Whether Chairperson is related to MD or CEO                          |                 |                      |            |          |  |                         | No                      |               |
| Sr   | Title (Mr / Ms) | Name of the Director | PAN        | DIN      | Category 1 of directors                  | Category 2 of directors | Category 3 of directors | Date of Birth |
| 1  | Mr              | RAKESH KAPOOR        | AAJPK6389F | 00216016 | Executive Director                       | Not Applicable          | MD                      | 02-09-1960    |
| 2  | Mr              | MANISH KAPOOR        | AAJPK6390C | 00025655 | Non-Executive - Non Independent Director | Not Applicable          |                         | 15-06-1972    |
| 3  | Ms              | NISHI SETH           | ABMPS3996C | 01101809 | Non-Executive - Non Independent Director | Not Applicable          |                         | 02-05-1963    |
| 4  | Mr              | RAJESH               | AHMPK6224H | 08065166 | Non-Executive - Independent              | Chairperson             |                         | 10-11-        |

|   |    |            |            |          |                                      |                |  |            |
|---|----|------------|------------|----------|--------------------------------------|----------------|--|------------|
|   |    | SABHARWAL  |            |          | Director                             |                |  | 1973       |
| 5 | Ms | POOJA BEDI | AZBPS9612A | 08193642 | Non-Executive - Independent Director | Not Applicable |  | 22-08-1981 |

| I. Composition of Board of Directors                                       |                                       |  |                                |  |                              |  |                             |                |
|--|---------------------------------------|--|--------------------------------|--|------------------------------|--|-----------------------------|----------------|
| Disqualification of Directors under section 164 of the Companies Act, 2013 |                                       |  |                                |  |                              |  |                             |                |
| Sr   | Whether the director is disqualified? |  | Start Date of disqualification |  | End Date of disqualification |  | Details of disqualification | Current status |
| 1  | No                                    |  |                                |  |                              |  |                             | Active         |
| 2  | No                                    |  |                                |  |                              |  |                             | Active         |
| 3  | No                                    |  |                                |  |                              |  |                             | Active         |
| 4  | No                                    |  |                                |  |                              |  |                             | Active         |
| 5  | No                                    |  |                                |  |                              |  |                             | Active         |

| I. Composition of Board of Directors |   |                                    |                             |                        |                   |                                |  |   |   |  |                      |                             |                             |
|--------------------------------------|---|------------------------------------|-----------------------------|------------------------|-------------------|--------------------------------|--|---|---|--|----------------------|-----------------------------|-----------------------------|
| Sr                                   | Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations] | Date of passing special resolution | Initial Date of appointment | Date of Re-appointment | Date of cessation | Tenure of director (in months) | No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations) | No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)] | Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) | No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations) | Reason for Cessation | Notes for not providing PAN | Notes for not providing DIN |
| 1                                    | NA  |                                    | 30-04-2014                  | 14-08-2023             |                   |                                | 1  | 0   | 1   | 0  |                      |                             |                             |
| 2                                    | NA  |                                    | 30-04-2014                  |                        |                   |                                | 1  | 0   | 2   | 0  |                      |                             |                             |
| 3                                    | NA  |                                    | 14-02-2018                  |                        |                   |                                | 1  | 0   | 0   | 0  |                      |                             |                             |
| 4                                    | Yes   | 11-08-2023                         | 14-02-2018                  | 14-02-2023             |                   | 82                             | 1  | 1   | 1   | 1  |                      |                             |                             |
| 5                                    | Yes   | 11-08-2023                         | 14-08-2018                  | 14-08-2023             |                   | 76                             | 1  | 1   | 2   | 1  |                      |                             |                             |

| Audit Committee Details                               |            |                           |  |                         |                     |                   |         |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Audit Committee has a Regular Chairperson |            |                           |  |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors                  | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 08193642   | POOJA BEDI                | Non-Executive - Independent Director     | Member                  | 14-08-2018          |                   |         |
| 2   | 08065166   | RAJESH SABHARWAL          | Non-Executive - Independent Director     | Chairperson             | 14-02-2018          |                   |         |
| 3   | 00025655   | MANISH KAPOOR             | Non-Executive - Non Independent Director | Member                  | 30-04-2014          |                   |         |

| Nomination and remuneration committee                                       |            |                           |                                      |                         |                     |                   |         |
|---|------------|---------------------------|--------------------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Nomination and remuneration committee has a Regular Chairperson |            |                           |                                      |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors              | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 08193642   | POOJA BEDI                | Non-Executive - Independent Director | Chairperson             | 14-08-2018          |                   |         |
| 2   | 08065166   | RAJESH SABHARWAL          | Non-Executive - Independent Director | Member                  | 14-02-2018          |                   |         |

|   |          |               |  |        |            |  |  |
|---|----------|---------------|--|--------|------------|--|--|
| 3 | 00025655 | MANISH KAPOOR | Non-Executive - Non Independent Director | Member | 30-04-2014 |  |  |
|---|----------|---------------|--|--------|------------|--|--|

| Stakeholders Relationship Committee                                       |            |                           |  |                         |                     |                   |         |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Stakeholders Relationship Committee has a Regular Chairperson |            |                           |  |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors                  | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 08193642   | POOJA BEDI                | Non-Executive - Independent Director     | Chairperson             | 14-08-2018          |                   |         |
| 2   | 00025655   | MANISH KAPOOR             | Non-Executive - Non Independent Director | Member                  | 30-04-2014          |                   |         |
| 3   | 00216016   | RAKESH KAPOOR             | Executive Director                       | Member                  | 30-04-2014          |                   |         |

| Risk Management Committee                                       |            |                           |  |                         |                     |                   |         |
|---|------------|---------------------------|--|-------------------------|---------------------|-------------------|---------|
| Whether the Risk Management Committee has a Regular Chairperson |            |                           |  |                         | Yes                 |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors                  | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |
| 1   | 08193642   | POOJA BEDI                | Non-Executive - Independent Director     | Chairperson             | 14-08-2018          |                   |         |
| 2   | 08065166   | RAJESH SABHARWAL          | Non-Executive - Independent Director     | Member                  | 14-02-2018          |                   |         |
| 3   | 00025655   | MANISH KAPOOR             | Non-Executive - Non Independent Director | Member                  | 30-04-2014          |                   |         |

| Corporate Social Responsibility Committee                                       |            |                           |                         |                         |                     |                   |         |
|---|------------|---------------------------|-------------------------|-------------------------|---------------------|-------------------|---------|
| Whether the Corporate Social Responsibility Committee has a Regular Chairperson |            |                           |                         |                         |                     |                   |         |
| Sr  | DIN Number | Name of Committee members | Category 1 of directors | Category 2 of directors | Date of Appointment | Date of Cessation | Remarks |

| Other Committee |            |                           |                                      |  |                         |         |
|-----------------|------------|---------------------------|--------------------------------------|--|-------------------------|---------|
| Sr              | DIN Number | Name of Committee members | Name of other committee              | Category 1 of directors                  | Category 2 of directors | Remarks |
| 1               | 08065166   | RAJESH SABHARWAL          | ASSET LIABILITY MANAGEMENT COMMITTEE | Non-Executive - Independent Director     | Chairperson             |         |
| 2               | 00216016   | RAKESH KAPOOR             | ASSET LIABILITY MANAGEMENT COMMITTEE | Executive Director                       | Member                  |         |
| 3               | 01101809   | NISHI SETH                | ASSET LIABILITY MANAGEMENT COMMITTEE | Non-Executive - Non Independent Director | Member                  |         |
| 4               | 08193642   | POOJA BEDI                | INVESTMENT COMMITTEE                 | Non-Executive - Independent Director     | Chairperson             |         |
| 5               | 08065166   | RAJESH SABHARWAL          | INVESTMENT COMMITTEE                 | Non-Executive - Independent Director     | Member                  |         |
| 6               | 00025655   | MANISH KAPOOR             | INVESTMENT COMMITTEE                 | Non-Executive - Non Independent Director | Member                  |         |

| Annexure 1   |   |  |   |                              |  |   |   |   |
|--|---|--|---|------------------------------|--|---|---|---|
| Annexure 1   |   |  |   |                              |  |   |   |   |
| III. Meeting of Board of Directors                               |   |  |   |                              |  |   |   |   |
| Disclosure of notes on meeting of board of directors explanatory |   |  |   |                              |  |   |   |   |
| Sr. No.  | Date(s) of meeting (if any) in the previous quarter | Date(s) of meeting (if any) in the current quarter | Maximum gap between any two consecutive (in number of days) | Notes for not providing Date | Whether requirement of Quorum met (Yes/No) | Total Number of Directors as on date of the meeting | Number of Directors present* (All directors including Independent Director) | No. of Independent Directors attending the meeting* |
| 1  | 06-11-2024  |  |   |                              | Yes  | 5   | 5   | 2   |

|   |  |            |    |  |     |   |   |   |
|---|--|------------|----|--|-----|---|---|---|
| 2 |  | 12-02-2025 | 97 |  | Yes | 5 | 5 | 2 |
|---|--|------------|----|--|-----|---|---|---|

| Annexure 1   |                                     |   |   |                                      |                               |  |  |  |   |  |
|--|-------------------------------------|---|---|--------------------------------------|-------------------------------|--|--|--|---|--|
| IV. Meeting of Committees                                |                                     |   |   |                                      |                               |  |  |  |   |  |
| Disclosure of notes on meeting of committees explanatory |                                     |   |   |                                      |                               |  |  |  |   |  |
| Sr   | Name of Committee                   | Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order) | Maximum gap between any two consecutive (in number of days) | Name of other committee              | Reason for not providing date | Whether requirement of Quorum met (Yes/No) | Total Number of Directors in the Committee as on date of the meeting | Number of Directors Present (All Directors including Independent Director) | No. of Independent Directors attending the meeting* | No. of members attending the meeting (other than Board of Directors) |
| 1  | Audit Committee                     | 06-11-2024  |   |                                      |                               | Yes  | 3  | 3  | 3   | 0  |
| 2  | Stakeholders Relationship Committee | 10-02-2025  | 95  |                                      |                               | Yes  | 3  | 3  | 2   | 0  |
| 3  | Risk Management Committee           | 10-02-2025  |   |                                      |                               | Yes  | 3  | 3  | 2   | 0  |
| 4  | Other Committee                     | 10-02-2025  |   | ASSET LIABILITY MANAGEMENT COMMITTEE |                               | Yes  | 3  | 3  | 1   | 0  |
| 5  | Other Committee                     | 10-02-2025  |   | INVESTMENT COMMITTEE                 |                               | Yes  | 3  | 3  | 2   | 0  |
| 6  | Audit Committee                     | 12-02-2025  | 1   |                                      |                               | Yes  | 3  | 3  | 3   | 0  |

| Annexure 1      |   |                            |
|-----------------|---|----------------------------|
| V. Affirmations |   |                            |
| Sr              | Subject   | Compliance status (Yes/No) |
| 1               | The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015   | Yes                        |
| 2               | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee   | No                         |
| 3               | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee                                    | Yes                        |
| 4               | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee                                    | Yes                        |
| 5               | The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities) | NA                         |
| 6               | The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.                               | Yes                        |
| 7               | The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.                   | Yes                        |
| 8               | This report and/or the report submitted in the previous quarter has been placed before Board of Directors.  | Yes                        |

| Annexure 1 |                   |                   |
|------------|-------------------|-------------------|
| Sr         | Subject           | Compliance status |
| 1          | Name of signatory | Rakesh Kapoor     |
| 2          | Designation       | Managing Director |

**Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

**I. Disclosure on website in terms of LODR Regulation**

**Sr**

| Sr                                   | Item  | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. | Web address   |
|--------------------------------------|---|-------------------------------|--|---|
| As per regulation 46(2) of the LODR: |   |                               |  |   |
| 1.1                                  | Details of business   | Yes                           |  | <a href="http://www.sriamarnathfinance.in/resource/Profile/About-Us.aspx">http://www.sriamarnathfinance.in/resource/Profile/About-Us.aspx</a>   |
| 1.2                                  | Memorandum of Association and Articles of Association   | Yes                           |  | <a href="http://www.sriamarnathfinance.in/resource/Profile/About-Us.aspx">http://www.sriamarnathfinance.in/resource/Profile/About-Us.aspx</a>   |
| 1.3                                  | Brief profile of board of directors including directorship and full-time positions in body corporates | Yes                           |  | <a href="http://www.sriamarnathfinance.in/resource/Profile/About-Us.aspx">http://www.sriamarnathfinance.in/resource/Profile/About-Us.aspx</a>   |
| 2                                    | Terms and conditions of appointment of independent directors  | Yes                           |  | <a href="http://sriamarnathfinance.in/resource/Image/Terms_and_Conditions_Appointment_IndependentDirectors.pdf">http://sriamarnathfinance.in/resource/Image/Terms_and_Conditions_Appointment_IndependentDirectors.pdf</a>                         |
| 3                                    | Composition of various committees of board of directors   | Yes                           |  | <a href="http://www.sriamarnathfinance.in/resource/Profile/Board_Committees.aspx">http://www.sriamarnathfinance.in/resource/Profile/Board_Committees.aspx</a>   |
| 4                                    | Code of conduct of board of directors and senior management personnel                                 | Yes                           |  | <a href="http://sriamarnathfinance.in/resource/Image/Code_of_Conduct_for_Board_Members_and_Senior_Management.pdf">http://sriamarnathfinance.in/resource/Image/Code_of_Conduct_for_Board_Members_and_Senior_Management.pdf</a>                     |
| 5                                    | Details of establishment of vigil mechanism/ Whistle Blower policy                                    | Yes                           |  | <a href="http://sriamarnathfinance.in/resource/Image/Details_of_establishment_of_Vigil_Mechanism_Whistle_Blower_Policy.pdf">http://sriamarnathfinance.in/resource/Image/Details_of_establishment_of_Vigil_Mechanism_Whistle_Blower_Policy.pdf</a> |
| 6                                    | Criteria of making payments to non-executive directors  | Yes                           |  | <a href="http://sriamarnathfinance.in/resource/Image/CRITERIA-FOR-MAKING-PAYMENTS-TO-NON-EXECUTIVE-DIRECTORS.pdf">http://sriamarnathfinance.in/resource/Image/CRITERIA-FOR-MAKING-PAYMENTS-TO-NON-EXECUTIVE-DIRECTORS.pdf</a>                     |
| 7                                    | Policy on dealing with related party transactions   | Yes                           |  | <a href="http://sriamarnathfinance.in/resource/Image/RELATED_PARTY_POLICY.pdf">http://sriamarnathfinance.in/resource/Image/RELATED_PARTY_POLICY.pdf</a>   |
| 8                                    | Policy for determining 'material' subsidiaries  | NA                            |  |   |
| 9                                    | Details of familiarization programmes imparted to independent   | Yes                           |  | <a href="http://www.sriamarnathfinance.in/resource/Profile/Familiarisation_Programme.aspx">http://www.sriamarnathfinance.in/resource/Profile/Familiarisation_Programme.aspx</a>   |

|    |   |     |  |   |
|----|---|-----|--|---|
|    | directors   |     |  |   |
| 10 | Email address for grievance redressal and other relevant details  | Yes |  | <a href="http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx">http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx</a> |
| 11 | Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances | Yes |  | <a href="http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx">http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx</a> |
| 12 | Financial results   | Yes |  | <a href="http://www.sriamarnathfinance.in/resource/Profile/Financial/Quarter.aspx">http://www.sriamarnathfinance.in/resource/Profile/Financial/Quarter.aspx</a>               |
| 13 | Shareholding pattern  | Yes |  | <a href="http://www.sriamarnathfinance.in/resource/investor.aspx">http://www.sriamarnathfinance.in/resource/investor.aspx</a>   |
| 14 | Details of agreements entered into with the media companies and/or their associates   | NA  |  |   |

## Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

### I. Disclosure on website in terms of LODR Regulation

Sr

|      |  |    |  |  |
|------|--|----|--|--|
|      | As per regulation 46(2) of the LODR:   |    |  |  |
| 15.1 | (I) Schedule of analyst or institutional investor meet<br>(II) Presentations prepared by the listed entity for analysts or institutional investors meet, post earnings or quarterly calls prior to beginning of such events. | NA |  |  |
| 15.2 | Audio recordings, video recordings, if any, and transcripts of post earnings or quarterly calls, by whatever name called, conducted physically or through digital means  | NA |  |  |
| 16   | New name and the old name of the listed entity   | NA |  |  |

|      |   |     |   |
|------|---|-----|---|
| 17   | Advertisements as per regulation 47 (1)   | Yes | <a href="http://www.sriamarnathfinance.in/resource/investor.aspx">http://www.sriamarnathfinance.in/resource/investor.aspx</a>   |
| 18   | Credit rating or revision in credit rating obtained   | NA  |   |
| 19   | Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year                 | NA  |   |
| 20   | Secretarial Compliance Report   | Yes | <a href="http://www.sriamarnathfinance.in/resource/investor.aspx">http://www.sriamarnathfinance.in/resource/investor.aspx</a>   |
| 21   | Materiality Policy as per Regulation 30 (4)   | Yes | <a href="http://sriamarnathfinance.in/resource/Image/POLICY_FOR_DETERMINATION_OF_MATERIALITY_OF_EVENTS_OR_INFORMATION.pdf">http://sriamarnathfinance.in/resource/Image/POLICY_FOR_DETERMINATION_OF_MATERIALITY_OF_EVENTS_OR_INFORMATION.pdf</a> |
| 22   | Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under regulation 30(5) | Yes | <a href="http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx">http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx</a>   |
| 23   | Disclosures under regulation 30(8)  | Yes | <a href="http://www.sriamarnathfinance.in/resource/investor.aspx">http://www.sriamarnathfinance.in/resource/investor.aspx</a>   |
| 24   | Statements of deviation(s) or variations(s) as specified in regulation 32   | NA  |   |
| 25   | Dividend Distribution policy as per Regulation 43A(1)   | NA  |   |
| 26.1 | Annual return as provided under section 92 of the Companies Act, 2013   | Yes | <a href="http://www.sriamarnathfinance.in/resource/investor.aspx">http://www.sriamarnathfinance.in/resource/investor.aspx</a>   |
| 26.2 | Employee Benefit scheme documents framed in terms of SEBI (SBEB) Regulations, 2021  | NA  |   |
| 27   | Confirmation that the above disclosures are in a separate section as specified in regulation 46(2)                                    | Yes | <a href="http://www.sriamarnathfinance.in/resource/investor.aspx">http://www.sriamarnathfinance.in/resource/investor.aspx</a>   |
|      | Compliance with regulation 46(3) with   |     |   |

|    |   |     |   |
|----|---|-----|---|
| 28 | respect to accuracy of disclosures on the website and timely updating | Yes | <a href="http://www.sriamarnathfinance.in/resource/investor.aspx">http://www.sriamarnathfinance.in/resource/investor.aspx</a> |
|----|---|-----|---|

| Annexure II             |   |   |                               |  |
|-------------------------|---|---|-------------------------------|--|
| II. Annual Affirmations |   |   |                               |  |
| Sr                      | Particulars   | Regulation Number                       | Compliance status (Yes/No/NA) | If status is “No” details of non-compliance may be given here. |
| 1                       | Independent director(s) have been appointed in terms of specified criteria of ‘independence’ and/or ‘eligibility’ | 16(1)(b)                                | Yes                           |  |
| 2                       | Board composition   | 17(1), 17(1A) & 17(1C), 17(1D) & 17(1E) | Yes                           |  |
| 3                       | Meeting of Board of directors   | 17(2)                                   | Yes                           |  |
| 4                       | Quorum of Board meeting   | 17(2A)                                  | Yes                           |  |
| 5                       | Review of Compliance Reports  | 17(3)                                   | Yes                           |  |
| 6                       | Plans for orderly succession for appointments   | 17(4)                                   | Yes                           |  |
| 7                       | Code of Conduct   | 17(5)                                   | Yes                           |  |
| 8                       | Fees/compensation   | 17(6)                                   | Yes                           |  |
| 9                       | Minimum Information   | 17(7)                                   | Yes                           |  |
| 10                      | Compliance Certificate  | 17(8)                                   | Yes                           |  |

| Annexure II             |   |                   |                               |  |
|-------------------------|---|-------------------|-------------------------------|--|
| II. Annual Affirmations |   |                   |                               |  |
| Sr                      | Particulars   | Regulation Number | Compliance status (Yes/No/NA) | If status is “No” details of non-compliance may be given here. |
| 11                      | Risk Assessment & Management  | 17(9)             | Yes                           |  |
| 12                      | Performance Evaluation of Independent Directors                               | 17(10)            | Yes                           |  |
| 13                      | Recommendation of Board   | 17(11)            | Yes                           |  |
| 14                      | Maximum number of Directorships   | 17A               | Yes                           |  |
| 15                      | Composition of Audit Committee  | 18(1)             | Yes                           |  |
| 16                      | Meeting of Audit Committee  | 18(2)             | Yes                           |  |
| 17                      | Role of Audit Committee and information to be reviewed by the audit committee | 18(3)             | Yes                           |  |
| 18                      | Composition of nomination & remuneration committee                            | 19(1) & (2)       | Yes                           |  |
| 19                      | Quorum of Nomination and Remuneration Committee meeting                       | 19(2A)            | Yes                           |  |
| 20                      | Meeting of Nomination and Remuneration Committee                              | 19(3A)            | Yes                           |  |

| Annexure II             |   |                       |                               |  |
|-------------------------|---|-----------------------|-------------------------------|--|
| II. Annual Affirmations |   |                       |                               |  |
| Sr                      | Particulars                                       | Regulation Number     | Compliance status (Yes/No/NA) | If status is “No” details of non-compliance may be given here. |
| 21                      | Role of Nomination and Remuneration Committee     | 19(4)                 | Yes                           |  |
| 22                      | Composition of Stakeholder Relationship Committee | 20(1), 20(2) & 20(2A) | Yes                           |  |
| 23                      | Meeting of Stakeholders Relationship Committee    | 20(3A)                | Yes                           |  |
|                         |   |                       |                               |  |



|    |   |                              |     |  |
|----|---|------------------------------|-----|--|
| 24 | Role of Stakeholders Relationship Committee               | 20(4)                        | Yes |  |
| 25 | Composition and role of risk management committee         | 21(1),(2),(3),(4)            | Yes |  |
| 26 | Meeting of Risk Management Committee                      | 21(3A)                       | Yes |  |
| 27 | Quorum of Risk Management Committee meeting               | 21(3B)                       | Yes |  |
| 28 | Gap between the meetings of the Risk Management Committee | 21(3C)                       | Yes |  |
| 29 | Vigil Mechanism   | 22                           | Yes |  |
| 30 | Policy for related party Transaction                      | 23(1), (1A), (5), (6), & (8) | Yes |  |

## Annexure II

### II. Annual Affirmations

| Sr | Particulars   | Regulation Number       | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. |
|----|---|-------------------------|-------------------------------|--|
| 31 | Prior or Omnibus approval of Audit Committee for all related party transactions   | 23(2), (3)              | Yes                           |  |
| 32 | Approval for material related party transactions  | 23(4)                   | Yes                           |  |
| 33 | Disclosure of related party transactions on consolidated basis  | 23(9)                   | Yes                           |  |
| 34 | Composition of Board of Directors of unlisted material Subsidiary   | 24(1)                   | NA                            |  |
| 35 | Other Corporate Governance requirements with respect to subsidiary of listed entity                                     | 24(2),(3),(4),(5) & (6) | NA                            |  |
| 36 | Alternate Director to Independent Director  | 25(1)                   | Yes                           |  |
| 37 | Maximum Tenure  | 25(2)                   | Yes                           |  |
| 38 | Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism | 25(2A)                  | Yes                           |  |
| 39 | Meeting of independent directors  | 25(3) & (4)             | Yes                           |  |
| 40 | Familiarization of independent directors  | 25(7)                   | Yes                           |  |

## Annexure II

### II. Annual Affirmations

| Sr | Particulars  | Regulation Number       | Compliance status (Yes/No/NA) | If status is "No" details of non-compliance may be given here. |
|----|--|-------------------------|-------------------------------|--|
| 41 | Declaration from Independent Director  | 25(8) & (9)             | Yes                           |  |
| 42 | Directors and Officers insurance   | 25(10)                  | Yes                           |  |
| 43 | Confirmation with respect to appointment of Independent Directors who resigned from the listed entity  | 25(11)                  | Yes                           |  |
| 44 | Memberships in Committees  | 26(1)                   | Yes                           |  |
| 45 | Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel                            | 26(3)                   | Yes                           |  |
| 46 | Policy with respect to Obligations of directors and senior management  | 26(2) & 26(5)           | Yes                           |  |
| 47 | Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity | 26(6)                   | Yes                           |  |
| 48 | Vacancies in respect Key Managerial Personnel  | 26A(1) & 26A(2), 26A(3) | Yes                           |  |
|    | Any other information to be provided - Add Notes   |                         |                               |  |

| Annexure II |                   |                   |
|-------------|-------------------|-------------------|
| 1           | Name of signatory | Rakesh Kapoor     |
| 2           | Designation       | Managing Director |

| Annexure II       |  |                               |
|-------------------|--|-------------------------------|
| III. Affirmations |  |                               |
| Sr                | Particulars  | Compliance status (Yes/No/NA) |
| 1                 | The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied | NA                            |
|                   | Any other information to be provided   |                               |

| Annexure II |                   |                   |
|-------------|-------------------|-------------------|
| 1           | Name of signatory | RAKESH KAPOOR     |
| 2           | Designation       | Managing Director |

| Details of Cyber security incidence  |                   |                            |
|--|-------------------|----------------------------|
| Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter |                   | No                         |
| Number of cyber security incidence or breaches or loss of data event occurred during the quarter   |                   |                            |
| Sr.  | Date of the event | Brief details of the event |

| Signatory Details     |                   |
|-----------------------|-------------------|
| Name of signatory     | RAKESH KAPOOR     |
| Designation of person | Managing Director |
| Place                 | DELHI             |
| Date                  | 26-04-2025        |

| Investor Grievance Details  |   |
|---|---|
| No. of investor complaints pending at the beginning of Quarter                  | 0 |
| No. of investor complaints received during the Quarter                          | 0 |
| No. of investor complaints disposed off during the Quarter                      | 0 |
| No. of investor complaints those remaining unresolved at the end of the Quarter | 0 |