

General information about company	
Scrip code	538863
NSE Symbol	
MSEI Symbol	
ISIN	INE985Q01010
Name of the entity	SRI AMARNATH FINANCE LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Yearly
Date of Report	31-03-2023
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I																									
Annexure I to be submitted by listed entity on quarterly basis																									
I. Composition of Board of Directors																									
Disclosure of notes on composition of board of directors explanatory																									
Whether the listed entity has a Regular Chairperson									Yes																
Whether Chairperson is related to MD or CEO									No	Disqualification of Directors under section 164 of the Companies Act, 2013															
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	RAKESH KAPOOR	AAJPK6389F	00216016	Executive Director	Not Applicable	MD	02-09-1960	No				Active	NA		30-04-2014	14-02-2018			1	0	0	0		
2	Mr	MANISH KAPOOR	AAJPK6390C	00025655	Non-Executive - Non Independent Director	Not Applicable		15-06-1972	No				Active	NA		30-04-2014				1	0	1	0		
3	Ms	NISHI SETH	ABMPS3996C	01101809	Non-Executive - Non Independent Director	Not Applicable		02-05-1963	No				Active	NA		14-02-2018				1	0	0	0		
4	Mr	RAJESH SABHARWAL	AHMPK6224H	08065166	Non-Executive - Independent Director	Chairperson		10-11-1973	No				Active	NA		14-02-2018			58	1	1	1	1		

I. Composition of Board of Directors																										
Disclosure of notes on composition of board of directors explanatory																										
Whether the listed entity has a Regular Chairperson																										
Sr.	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN	
5	Ms	POOJA BEDI	AZBPS9612A	08193642	Non-Executive - Independent	Not Applicable		22-08-1981	No				Active	NA		14-08-2018				52	1	1	2	1		

4	08193642	POOJA BEDI	INVESTMENT COMMITTEE	Director	Chairperson	
5	08065166	RAJESH SABHARWAL	INVESTMENT COMMITTEE	Non-Executive - Independent Director	Member	
6	00025655	MANISH KAPOOR	INVESTMENT COMMITTEE	Non-Executive - Non Independent Director	Member	

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	04-11-2022				Yes	6	6	3
2		13-02-2023	100		Yes	6	6	3

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reson for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	04-11-2022				Yes	3	3	3	0
2	Audit Committee	13-02-2023	100			Yes	3	3	3	0

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	Yes	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Rakesh Kapoor
2	Designation	Managing Director

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
1	Details of business	Yes		http://www.sriamarnathfinance.in/resource/Profile/About-Us.aspx
2	Terms and conditions of appointment of independent directors	Yes		http://www.sriamarnathfinance.in/resource/Image/Terms_and_Conditions_Appointment_IndependentDirectors.pdf
3	Composition of various committees of board of directors	Yes		http://www.sriamarnathfinance.in/resource/Profile/Board_Committees.aspx
4	Code of conduct of board of directors and senior management personnel	Yes		http://www.sriamarnathfinance.in/resource/Image/Code_of_Conduct_for_Board_Members_and_Senior_Management.pdf
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		http://www.sriamarnathfinance.in/resource/Image/Details_of_establishment_of_Vigil_Mechanism_Whistle_Blower_Policy.pdf
6	Criteria of making payments to non-executive directors	Yes		http://www.sriamarnathfinance.in/resource/Image/CRITERIA-FOR-MAKING-PAYMENTS-TO-NON-EXECUTIVE-DIRECTORS.pdf
7	Policy on dealing with related party transactions	Yes		http://www.sriamarnathfinance.in/resource/Image/RELATED_PARTY_POLICY.pdf
8	Policy for determining 'material' subsidiaries	NA		
9	Details of familiarization programmes imparted to independent directors	Yes		http://www.sriamarnathfinance.in/resource/Profile/Familiarisation_Programme.aspx

Annexure II				
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of Listing Regulations				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and	Yes		http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx

	handling investor grievances			
11	email address for grievance redressal and other relevant details	Yes		http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx
12	Financial results	Yes		http://www.sriamarnathfinance.in/resource/Profile/Financial/Annual.aspx
13	Shareholding pattern	Yes		http://www.sriamarnathfinance.in/resource/investor.aspx
14	Details of agreements entered into with the media companies and/or their associates	NA		
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA		
16	New name and the old name of the listed entity	NA		
17	Advertisements as per regulation 47 (1)	Yes		http://www.sriamarnathfinance.in/resource/Image/Letertioexchange.pdf
18	Credit rating or revision in credit rating obtained	NA		
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA		
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	NA		
21	Materiality Policy as per Regulation 30	Yes		http://www.sriamarnathfinance.in/resource/Image/POLICY_FOR_DETERMINATION_OF_MATERIALITY_OF_EVENTS_OR_INFORMATION.pdf
22	Dividend Distribution policy as per Regulation 43A (as applicable)	NA		
23	It is certified that these contents on the website of the listed entity are correct	NA		
Disclosure of notes on website in terms of Listing Regulations explanatory [Text Block]			Textual Information(1)	

Text Block		
	S. No.	Particulars Regulation 46

Textual Information(1)	1	Business in Details*	http://www.sriamarnathfinance.in/resource/Profile/About-Us.aspx
	2	Terms and conditions of appointment of independent directors*	http://www.sriamarnathfinance.in/resource/Image/Terms_and_Conditions_Appointment_IndependentDirectors.pdf
	3	Composition of various committees of board of directors*	http://www.sriamarnathfinance.in/resource/Profile/Board_Committees.aspx
	4	Code of conduct of board of directors and senior management personnel*	http://www.sriamarnathfinance.in/resource/Image/Code_of_Conduct_for_Board_Members_and_Senior_Management.pdf
	5	Details of establishment of vigil mechanism/ Whistle Blower policy*	http://www.sriamarnathfinance.in/resource/Image/Details_of_establishment_of_Vigil_Mechanism_Whistle_Blower_Policy.pdf
	6	Criteria of making payments to non-executive directors*	http://www.sriamarnathfinance.in/resource/Image/CRITERIA-FOR-MAKING-PAYMENTS-TO-NON-EXECUTIVE-DIRECTORS.pdf
	7	Policy on dealing with related party transactions*	http://www.sriamarnathfinance.in/resource/Image/RELATED_PARTY_POLICY.pdf
	9	Details of familiarization programs imparted to independent directors*	http://www.sriamarnathfinance.in/resource/Profile/Familiarisation_Programme.aspx
	a) Number of programmers attended by independent directors (during the year and on a cumulative basis till date) *		
	b) Number of hours spent by independent directors in such programmes (during the year and on cumulative basis till date) *		
	c) Other Details*		
	10	Email address for grievance redressal and other relevant details*	http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx
	11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances *	http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx
	12	Financial information*	http://www.sriamarnathfinance.in/resource/Profile/Financial/Annual.aspx
	a) Notice of meeting of the board of directors where financial results shall be discussed*	http://www.sriamarnathfinance.in/resource/Image/BoardMeeting_INTIMATION_13.02.2023.pdf	
	b) Financial results, on conclusion of the meeting of the board of directors where the financial results were approved*	http://www.sriamarnathfinance.in/resource/Image/Board-Meeting-Outcome_13.02.2022.pdf	
	c) Complete copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report etc *	http://www.sriamarnathfinance.in/resource/Profile/Financial/image/Final_Annual_Report_2021_22.pdf	
	13	Shareholding Pattern/Unit Holding Pattern*	http://www.sriamarnathfinance.in/resource/investor.aspx
	17	items in sub-regulation (1) of regulation 47 (The listed entity shall publish the following information in the newspaper) (financial results, notices given to shareholders by advertisement) *	http://www.sriamarnathfinance.in/resource/Image/Lettertoexchange.pdf
	20	Secretarial compliance report as per sub-regulation (2) of regulation 24A*	http://www.sriamarnathfinance.in/resource/Image/Secretarial-Audit-Report_ShriAmarnath_17.05.2022.pdf
	21	Disclosure of the policy for determination of materiality of events or information required under clause (ii), sub-regulation (4) of regulation 30*	http://www.sriamarnathfinance.in/resource/Image/POLICY_FOR_DETERMINATION_OF_MATERIALITY_OF_EVENTS_OR_INFORMATION.pdf
	22	Disclosure of contact details of key managerial personnel*	http://www.sriamarnathfinance.in/resource/Profile/Organisational_Structure.aspx
	23	Disclosures under sub-regulation (8) of regulation 30 of these regulations*	http://www.sriamarnathfinance.in/resource/Image/Postal_Ballot_Notice.pdf
	26	Annual return as provided under section 92 of the Companies Act, 2013*	http://www.sriamarnathfinance.in/resource/investor.aspx
		Policy for determining material subsidiaries	http://www.sriamarnathfinance.in/resource/Image/Policy-for-Determining-Material-Subsidiaries.pdf

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b) & 25(6)	Yes	
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
23	Meeting of Risk Management Committee	21(3A)	Yes	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	Yes	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	Yes	
33	Maximum Tenure	25(2)	Yes	

34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	Yes	
38	Memberships in Committees	26(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
	Any other information to be provided - Add Notes			

Annexure II		
1	Name of signatory	RAKESH KAPOOR
2	Designation	Managing Director

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
	Any other information to be provided	

Annexure II		
1	Name of signatory	RAKESH KAPOOR
2	Designation	Managing Director

Additional Half yearly Disclosure				
Applicability of disclosure		Applicable		
Reason for Non Applicability		Textual Information(1)		
I. Disclosure of Loans/ guarantees/comfort letters /securities etc.refer note below				
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to				
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months		
Promoter or any other entity controlled by them	9000000	112217438		
Promoter Group or any other entity controlled by them	0	0		
Directors (including relatives) or any other entity controlled by them	0	0		
KMPs or any other entity controlled by them	0	0		
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By				
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)	
Promoter or any other entity controlled by them	0	0	0	
Promoter Group or any other entity controlled by them	0	0	0	
Directors (including relatives) or any other entity controlled by them	0	0	0	
KMPs or any other entity controlled by them	0	0	0	

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(D) Additional Information			Textual Information(2)
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.		Yes	Textual Information(3)
Name	SHWETA GAMBHIR		
Designation	CFO		
Place	DELHI		
Date	19-04-2023		
Text Block			

Signatory Details	
Name of signatory	RAKESH KAPOOR
Designation of person	Managing Director
Place	DELHI
Date	19-04-2023